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###  **Risk Assessment Schedule**

###  **Kingmoor Parish Council**:  **Date of review of risk assessment schedule**: 16.03.2017

Risk assessment is a systematic general examination of working conditions, workplace activities and environmental factors that will enable the Parish Council to identify any and all potential inherent risks. The Parish Council, based on a recorded assessment, will take all practical and necessary steps to reduce or eliminate the risks, insofar as is practically possible.

This document has been produced to enable Kingmoor Parish Council to assess the risks that it faces and satisfy itself that it has taken adequate steps to minimise them.

**PHYSICAL RISKS TO COUNCIL MEMBERS AND EMPLOYEE**

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| **What are the hazards?** | **Who might be harmed and how?** | **Risk rating****High H****Medium M****Low L** | **What is being done to control this risk?** | **Do you need to do anything else to control this risk?** | **Action by who?** | **Action by when?** | **Done** |
| Site visits Site specific hazards | Council Members, employee | L | Obtain site induction where possible Site Regulations must be adhered toTake notice of all signage and adhere to all instructions | Make everyone aware of the risks and action to take.Provide all new councilors with a copy of the risk assessment schedule.Review on a bi-annual basis | Clerk | Every 6 months |  |
| Site visits Persons may slip, trip or fall on uneven/worn surfaces or be hit by falling debris | Council Members, employee | L | To be aware of where you are walking; uneven surfaces, potholes, overhanging branches, traffic etc. Hard hats and appropriate footwear and clothing must be worn at all times whilst on a construction site | Make everyone aware of the risks and action to take.Provide all new councilors with a copy of the risk assessment schedule.Review on a bi-annual basis | Clerk | Every 6 months |  |
| Site visits Persons may not be seen easily by vehicles on site | Council Members, employee | M | To wear appropriate PPE equipment (high visibility clothing, suitable footwear, hard hat if appropriate) | Make everyone aware of the risks and action to take.Provide all new councilors with a copy of the risk assessment schedule.Review on a bi-annual basis | Clerk | Every 6 months |  |
| Play-ground InspectionsPersons may slip, trip or fall on uneven/worn surfaces | Council Member, volunteers | L | To be aware of where you are walking; uneven surfaces, potholes, overhanging branches, traffic etc. Wear appropriate footwear and clothing. | Make everyone aware of the risks and action to take.Provide all new councilors with a copy of the risk assessment schedule.Review on a bi-annual basis | Clerk | Every 6 months |  |

**FINANCIAL AND MANAGEMENT RISKS**

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| **Subject** | **Risk(s) identified** | **Risk rating****High H****Medium M****Low L** | **Management/control of Risk** | **Review/Assess/Revise** |
| Precept  | Adequacy of preceptin order for the Council tocarry out its Statutory duties | L | To determine the precept amount required, the Council regularly receives budget update information monthly. At the precept meeting Council receives a budget report, including actual position and projected position to the end of year and indicative figures or costings obtained by the Clerk. With this information the Council maps out the required monies for standing costs and projects for the following year and applies specific figures to budget headings, the total of which is resolved to be the precept amount to be requested from Carlisle City Council. The figure is submitted by the Clerk in writing.The Clerk informs the Council when the monies are received. | Existing procedure adequate. |
| FinancialRecords | Inadequate recordsFinancial irregularities | LL | The Council has Financial Regulations which sets out the requirements. | Existing procedure adequateReview the Financial regulations when necessary and at least on an annual basis. |
| Bank and banking | Inadequate checksBanks mistakes | LL | The Council has Financial Regulations which set out banking requirementsBi-monthly bank reconciliation provided at every council meeting. | Existing procedure adequate |
| Reporting and auditing | Information communication | L | Financial information is a regular agenda item (Finance Report) and discussed/reviewed and approved at each meeting. | Existing procedures adequate. |
| Best value accountability | Work awardedIncorrectly.Overspend on services. | LM | Normal Parish Council practice would be to seek, if possible, more than one quotation for any substantial work to be undertaken. For major work competitive tenders would be sought. If problems encountered with a contract the Clerk would investigate the situation and report to the Council. | Existing procedure adequate. |
| Salaries and assoc. costs | Salary paid incorrectly.Unpaid Tax to Inland Revenue. | LL | Cheques to employee and HMRC signed and approved at council meetings. Included in bank reconciliation. | Existing procedure adequate. |
| **Subject** | **Risk(s) identified** | **Risk rating****High H****Medium M****Low L** | **Management/control of Risk** | **Review/Assess/Revise** |
| Employees | Fraud by staffHealth and safety | LL | Requirements of Fidelity Guarantee insurance adhered to with regards to fraud.Employee to be provided adequate direction and safety equipment needed to undertake the role | Existing procedures adequate.Monitor health and safety requirements and insurance annually. |
| VAT | Reclaiming/charging | L | The Council has Financial Regulations which set out the requirements. | Existing procedures adequate |
| Annual Return | Submit within time limits | L | Annual Return is completed and submitted with the prescribed time frame by the Clerk.Annual Return completed and signed by the Council, submitted to internal auditor for completion and signing then checked and sent to External Auditor within time frame. | Existing procedures adequate. |
| Legal Powers | Illegal activity or payments | L | All activity and payments within the powers of the Parish Council to be resolved at full Council Meetings, including reference to the power used under the Finance section of agenda and Finance report monthly. | Existing procedures adequate |
| Minutes/ agendas/Notices StatutoryDocuments` | Accuracy and legalityBusiness conduct | LL | Minutes and agenda are produced in the prescribed manor by the Clerk and adhere to the legal requirements.Minutes are approved and signed at the next Council meeting.Agenda displayed according to legal requirements.Business conducted at Council meetings is managed by the Chair | Existing procedures adequate.Members adhere to Code of Conduct |
| Members interests | Conflict of interestsRegister of members interests | LM | Declarations of interest by members at Council meetings.Register of members’ interests forms reviewed regularly. | Existing procedures adequate.Members take responsibility to update register. |
| Insurance | AdequacyCostComplianceFidelity Guarantee | LLLM | An annual review is undertaken of all insurance arrangements. Employers and Employee liabilities a necessity and within policies. Ensure compliance measures are in place. Fidelity checks in place. | Existing procedure adequate.Insurance reviewed annually. |
| Data protection | Policy provision | L | The Parish Council has a Data protection Policy in place which is reviewed annually. | Existing procedures adequate. |
| **Subject** | **Risk(s) identified** | **Risk rating****High H****Medium M****Low L** | **Management/control of Risk** | **Review/Assess/Revise** |
| Freedom of Information | Policy Provision | LM | The Council has a Publication scheme in place. To date there has been no requests under FOI.The Parish Council is aware that if a substantial request came in it could create a number of additional hours work. The Parish Council can request a fee to supplement the extra hours  | Monitor any requests made under FOI |
| Assets | Loss or damage | L | An annual review of assets is undertaken for insurance provision | Existing procedures adequate |
| Maintenance | Poor performance of assets or amenities | L | All assets owned by the Parish Council are regularly reviewed and maintained. All repairs and relevant expenditure for any repair is actioned/authourised in accordance with the correct procedures of the Parish Council. Assets are insured. | Existing procedures adequate |
|  Notice Boards / Benches | Risk of damage | L | The Parish Council currently has 5 notice boards. No formal inspection procedures are in place but any reports of damage are faults are reported to the Parish Council and dealt with in accordance of the correct procedures of the Council. | Existing procedures adequate |
| Meeting locations | AdequacyHealth & Safety | LM | The Parish Council meeting is held in a venue considered to have appropriate facilities for the Clerk, members and the general public.The venue has a health and safety risk assessment undertaken on an annual basis. | Existing procedures adequate |
| Council records – paper | Loss through:TheftFiredamage | LML | The Parish Council records are stored at the home of the Clerk. Records include historical correspondences, minutes, insurance, and bank records. The documents are stored in a lockable cabinet.  | Damage (apart from fire) and theft is unlikely and so provision is adequate. |
| Council records – electronic | Loss through:Theft, fire damage or corruption of computer | LM | The Parish Council electronic records are stored on the Council laptop held with the Clerk at his home. Electronic data is backed up on external hard drive. | Existing procedures considered adequate |